### Code Generation: Sethi Ullman Algorithm

Amey Karkare karkare@cse.iitk.ac.in

March 28, 2019

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- Extensions to take into account algebraic properties of operators.
- Generates optimal code i.e. code with least number of instructions. There may be other notions of optimality.
- Complexity is linear in the size of the expression tree.
   Reasonably efficient.

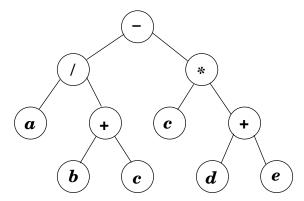
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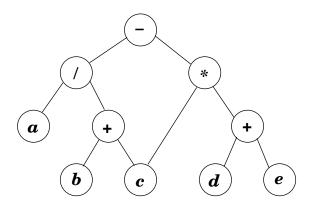
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We have not identified common sub-expressions; else we would have a directed acyclic graph (DAG):





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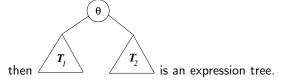
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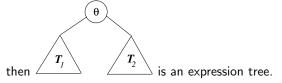
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In this example

 $\Sigma = \{a, b, c, d, e, \dots\}, and \Theta = \{+, -, *, /, \dots\}$ 

We assume a machine with finite set of registers r<sub>0</sub>, r<sub>1</sub>, ..., r<sub>k</sub>, countable set of memory locations, and instructions of the form:

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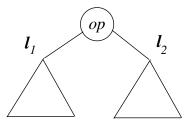
- 1. In instruction 3, the memory location is the right operand.
- In instruction 4, the destination register is the same as the left operand register.



 Determines an evaluation order of the subtrees which requires minimum number of registers.

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- Determines an evaluation order of the subtrees which requires minimum number of registers.
- ► If the left and right subtrees require l<sub>1</sub>, and l<sub>2</sub> (l<sub>1</sub> < l<sub>2</sub>) registers respectively, what should be the order of evaluation?



#### ► Choice 1

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► The maximum register requirement in this case is max(l<sub>1</sub>, l<sub>2</sub> + 1) = l<sub>2</sub> + 1.

#### ► Choice 2

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#### ► Choice 2

1. Evaluate the right subtree first, leaving the result in a register. During this evaluation we shall require upto  $l_2$  registers.

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- ► The maximum register requirement over the whole tree is  $max(l_1 + 1, l_2) = l_2$

Therefore the subtree requiring more registers should be evaluated first.

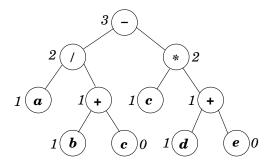
Label each node by the number of registers required to evaluate it in a store free manner.

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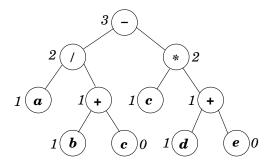
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Left and the right leaves are labeled 1 and 0 respectively, because the left leaf must necessarily be in a register, whereas the right leaf can reside in memory.

► Visit the tree in post-order. For every node visited do:

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- Visit the tree in post-order. For every node visited do:
  - 1. Label each left leaf by 1 and each right leaf by 0.
  - 2. If the labels of the children of a node n are  $l_1$  and  $l_2$  respectively, then

$$\begin{aligned} label(n) &= max(l_1, l_2), & \text{if } l_1 \neq l_2 \\ &= l_1 + 1, & \text{otherwise} \end{aligned}$$

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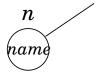
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- 5. *swap*(*rstack*) swaps the top two registers on the stack.

 gencode(n) described by case analysis on the type of the node n.

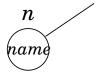
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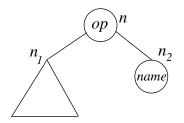


$$gen(top(rstack) \leftarrow name)$$

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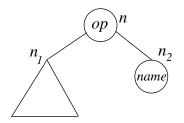
*Comments: n* is named by a variable say *name*. Code is generated to load *name* into a register.

2. *n's right child is a leaf:* 



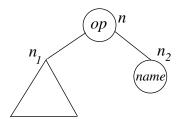
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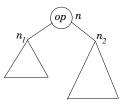
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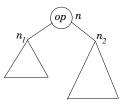
 $gencode(n_1)$  $gen(top(rstack) \leftarrow top(rstack) op name)$ 

*Comments:*  $n_1$  is first evaluated in the register on the top of the stack, followed by the operation *op* leaving the result in the same register.

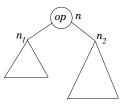
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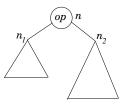
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swap(rstack);

Right child goes into next to top register

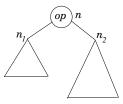
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swap(rstack);
gencode(n<sub>2</sub>);

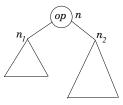
Right child goes into next to top register Evaluate right child

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swap(rstack);  $gencode(n_2);$ R := pop(rstack); Right child goes into next to top register Evaluate right child

3. The left child of n requires lesser number of registers. This requirement is strictly less than the available number of registers

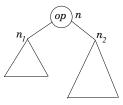


swap(rstack);  $gencode(n_2);$  R := pop(rstack); $gencode(n_1);$  Right child goes into next to top register Evaluate right child

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Evaluate left child

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swap(rstack);
gencode(n<sub>2</sub>);

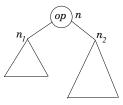
R := pop(rstack);

Right child goes into next to top register Evaluate right child

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 $gencode(n_1); Evaluate left child$  $gen(top(rstack) \leftarrow top(rstack) op R); Issue op$ 

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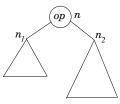
swap(rstack);
gencode(n<sub>2</sub>);

R := pop(rstack);

Right child goes into next to top register Evaluate right child

 $gencode(n_1); \qquad \text{Evaluate left child} \\gen(top(rstack) \leftarrow top(rstack) \text{ op } R); \qquad \text{Issue op} \\push(rstack, R); \qquad \text{Issue op} \\$ 

3. The left child of n requires lesser number of registers. This requirement is strictly less than the available number of registers

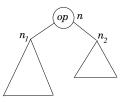


swap(rstack); gencode(n<sub>2</sub>); R := pop(rstack); gencode(n<sub>1</sub>); Right child goes into next to top register Evaluate right child

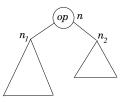
gencode( $n_1$ );Evaluate left childgen(top(rstack) \leftarrow top(rstack) op R);Issue oppush(rstack, R);swap(rstack)swap(rstack)Restore register stack

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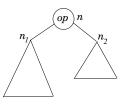
4. The right child of n requires lesser (or the same) number of registers than the left child, and this requirement is strictly less than the available number of registers



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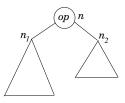
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 $gencode(n_1);$ 

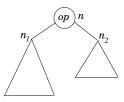
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 $gencode(n_1);$ R := pop(rstack);

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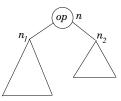


```
gencode(n_1);

R := pop(rstack);

gencode(n_2);
```

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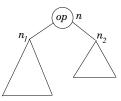
```
gencode(n_1);

R := pop(rstack);

gencode(n_2);

gen(R \leftarrow R \text{ op top(rstack)});
```

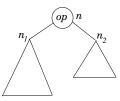
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 $gencode(n_1);$  R := pop(rstack);  $gencode(n_2);$   $gen(R \leftarrow R \ op \ top(rstack));$ push(rstack, R)

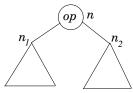
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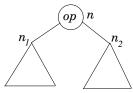
 $gencode(n_1);$  R := pop(rstack);  $gencode(n_2);$   $gen(R \leftarrow R op top(rstack));$ push(rstack, R)

Comments: Same as case 3, except that the left sub-tree is evaluated first.

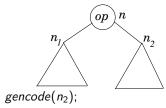
5. Both the children of n require registers greater or equal to the available number of registers.



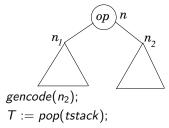
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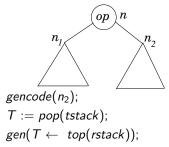


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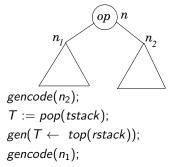
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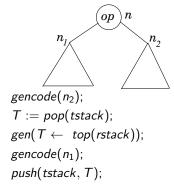
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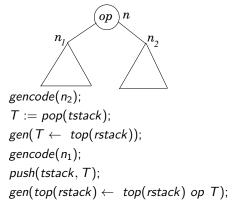


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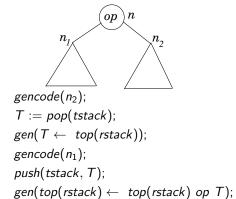


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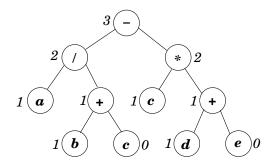
5. Both the children of n require registers greater or equal to the available number of registers.



*Comments:* In this case the right sub-tree is first evaluated into a temporary. This is followed by the evaluations of the left sub-tree and n into the register on the top of the stack.

## An Example

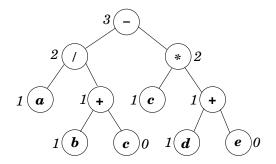
For the example:



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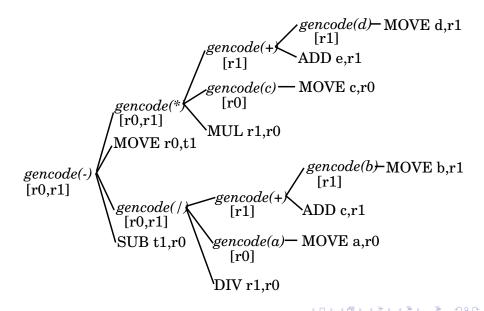
#### An Example

For the example:



assuming two available registers  $r_0$  and  $r_1$ , the calls to gencode and the generated code are shown on the next slide.

#### An Example



The algorithm is optimal because



#### The algorithm is optimal because

1. The number of load instructions generated is optimal.

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  - 2. Each binary operation specified in the expression tree is performed only once.

- 3. The number of stores is optimal.
- ▶ We shall now elaborate on each of these.

 It is easy to verify that the number of loads required by any program computing an expression tree is at least equal to the number of left leaves. This algorithm generates no more loads than this.

- It is easy to verify that the number of loads required by any program computing an expression tree is at least equal to the number of left leaves. This algorithm generates no more loads than this.
- 2. Each node of the expression tree is visited exactly once. If this node specifies a binary operation, then the algorithm branches into steps 2,3,4 or 5, and at each of these places code is generated to perform this operation exactly once.

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3. The number of stores is optimal: this is harder to show.

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- 3. The number of stores is optimal: this is harder to show.
  - Define a major node as a node, each of whose children has a label at least equal to the number of available registers.

- 3. The number of stores is optimal: this is harder to show.
  - Define a major node as a node, each of whose children has a label at least equal to the number of available registers.
  - If we can show that the number of stores required by any program computing an expression tree is at least equal the number of major nodes, then our algorithm produces minimal number of stores (Why?)

To see this, consider an expression tree and the code generated by any optimal algorithm for this tree.

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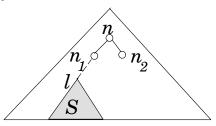
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• Assume that the tree has *M* major nodes.

- To see this, consider an expression tree and the code generated by any optimal algorithm for this tree.
- Assume that the tree has *M* major nodes.
- Now consider a tree formed by replacing the subtree S evaluated by the first store, with a leaf labeled by a name I.

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- To see this, consider an expression tree and the code generated by any optimal algorithm for this tree.
- Assume that the tree has *M* major nodes.
- Now consider a tree formed by replacing the subtree S evaluated by the first store, with a leaf labeled by a name I.



• Let *n* be the major node in the original tree, just above *S*, and  $n_1$  and  $n_2$  be its immediate descendants ( $n_1$  could be *l* itself).

1. In the modified tree, the (modified) label of  $n_1$  might have decreased but the label of  $n_2$  remains unaffected ( $\geq k$ , the available number of registers).

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2. The label of  $n \text{ is } \geq k$ .

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- 2. The label of  $n \text{ is } \geq k$ .
- 3. The node *n* may no longer be a major node *but all other* major nodes in the original tree continue to be major nodes in the modified tree.

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- 2. The label of  $n ext{ is } \geq k$ .
- 3. The node *n* may no longer be a major node *but all other* major nodes in the original tree continue to be major nodes in the modified tree.
- 4. Therefore the number of major nodes in the modified tree is M-1.

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- 1. In the modified tree, the (modified) label of  $n_1$  might have decreased but the label of  $n_2$  remains unaffected ( $\geq k$ , the available number of registers).
- 2. The label of  $n \text{ is } \geq k$ .
- 3. The node *n* may no longer be a major node *but all other* major nodes in the original tree continue to be major nodes in the modified tree.
- 4. Therefore the number of major nodes in the modified tree is M-1.
- 5. If we assume as induction hypothesis that the number of stores for the modified tree is at least M 1, then the number of stores for the original tree is at least M.

# SETHI-ULLMAN ALGORITHM: COMPLEXITY

Since the algorithm visits every node of the expression tree twice – once during labeling, and once during code generation, the complexity of the algorithm is O(n).